

**EL 961414782**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICATION FOR LETTERS PATENT**

**SECURITY DESCRIPTOR VERIFIER**

Inventor:

David Lawler Christiansen

ATTORNEY DOCKET NO. MS1-1703US

1 **TECHNICAL FIELD**

2 This application relates generally to the development of software  
3 applications, and more specifically to testing the security impact of software  
4 applications.

5  
6 **BACKGROUND OF THE INVENTION**

7 In the computing world, the fear of compromising one's personal  
8 information or becoming the victim of a hacker or virus has existed for some time.  
9 But with the proliferation of the Internet, personal security has taken on a whole  
10 new meaning. The Internet and other networking technologies have made many  
11 users more aware of the dangers of installing "things" (e.g., applications, browser  
12 plug-ins, media files, and the like) on their computers. More and more users have  
13 expressed concern about the impact on their privacy or security of installing  
14 something on their computer. Many users resist installing new applications out of  
15 that concern. Many users also suffer apprehension while visiting random Web  
16 locations out of a similar fear—the fear that simply visiting a Web site will  
17 somehow compromise the security of their computer. Today these fears are valid.

18  
19 Software developers would like to allay the users' fears. However, when  
20 software developers create a new application, they may inadvertently create a  
21 security hole. For example, a developer may inadvertently write an application  
22 that creates objects with excessive access permissions that would allow other  
23 applications to gain access to data through those objects. Hackers and virus  
24 writers today are amazingly adept at locating and exploiting those security holes.  
25 For various reasons, software developers have been without an acceptable

1 mechanism for comprehensively testing a new software application to identify any  
2 potential security risks created by the application. Until now, a solution to that  
3 problem has eluded software developers.

#### 4 5 **SUMMARY OF THE INVENTION**

6 Briefly stated, modifications to security information associated with  
7 accessing an object are evaluated. Evaluations are performed to determine if  
8 excessive access rights or permissions have been granted on the object, which  
9 could lead to compromised security. A security verifier intercepts the security  
10 information and determines if an identified owner constitutes an untrusted security  
11 entity. If so, a notification to that effect is issued. The security verifier also  
12 determines whether access rights granted to other entities create a security threat.  
13 If so, a notification to that effect is issued. Multiple levels of potential threat may  
14 be employed, and notifications of varying severity may be used to illustrate the  
15 disparity between the multiple levels of threat.

#### 16 17 **BRIEF DESCRIPTION OF THE DRAWINGS**

18 Fig. 1 is a functional block diagram of an exemplary computer suitable as  
19 an environment for practicing various aspects of subject matter disclosed herein.

20 Fig. 2 is a functional block diagram of a computing environment that  
21 includes components to verify the security descriptor assigned to objects  
22 associated with an application.

23 Fig. 3 is a functional block diagram of a security descriptor that may be  
24 associated with the objects illustrated in Fig. 2.

1 Fig. 4 is a logical flow diagram generally illustrating operations that may be  
2 performed by a process implementing a technique for verifying security  
3 description information associated with objects used by an application.

4 Fig. 5 is a logical flow diagram generally illustrating operations that may be  
5 performed by another process implementing a technique for verifying security  
6 description information associated with objects used by an application.

7 Fig. 6 is a logical flow diagram illustrating in greater detail a process for  
8 evaluating the level of security threat posed by access permissions associated with  
9 an access control entry.

## 10 11 **DETAILED DESCRIPTION**

12 The following description sets forth specific embodiments of a system for  
13 testing and identifying applications to identify possible security risks. This  
14 specific embodiment incorporates elements recited in the appended claims. The  
15 embodiment is described with specificity in order to meet statutory requirements.  
16 However, the description itself is not intended to limit the scope of this patent.  
17 Rather, the inventors have contemplated that the claimed invention might also be  
18 embodied in other ways, to include different elements or combinations of elements  
19 similar to the ones described in this document, in conjunction with other present or  
20 future technologies.

## 21 22 **Exemplary Computing Environment**

23 Fig. 1 is a functional block diagram illustrating an exemplary computing  
24 device that may be used in embodiments of the methods and mechanisms  
25 described in this document. In a very basic configuration, computing device 100

1 typically includes at least one processing unit 102 and system memory 104.  
2 Depending on the exact configuration and type of computing device, system  
3 memory 104 may be volatile (such as RAM), non-volatile (such as ROM, flash  
4 memory, etc.) or some combination of the two. System memory 104 typically  
5 includes an operating system 105, one or more program modules 106, and may  
6 include program data 107. This basic configuration is illustrated in Fig. 1 by those  
7 components within dashed line 108.

8  
9 Computing device 100 may have additional features or functionality. For  
10 example, computing device 100 may also include additional data storage devices  
11 (removable and/or non-removable) such as, for example, magnetic disks, optical  
12 disks, or tape. Such additional storage is illustrated in Fig. 1 by removable storage  
13 109 and non-removable storage 110. Computer storage media may include  
14 volatile and nonvolatile, removable and non-removable media implemented in any  
15 method or technology for storage of information, such as computer readable  
16 instructions, data structures, program modules, or other data. System memory  
17 104, removable storage 109 and non-removable storage 110 are all examples of  
18 computer storage media. Computer storage media includes, but is not limited to,  
19 RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM,  
20 digital versatile disks (DVD) or other optical storage, magnetic cassettes, magnetic  
21 tape, magnetic disk storage or other magnetic storage devices, or any other  
22 medium which can be used to store the desired information and which can be  
23 accessed by computing device 100. Any such computer storage media may be part  
24 of device 100. Computing device 100 may also have input device(s) 112 such as  
25 keyboard, mouse, pen, voice input device, touch input device, etc. Output

1 device(s) 114 such as a display, speakers, printer, etc. may also be included. These  
2 devices are well know in the art and need not be discussed at length here.

3  
4 Computing device 100 may also contain communication connections 116  
5 that allow the device to communicate with other computing devices 118, such as  
6 over a network. Communication connections 116 are one example of  
7 communication media. Communication media may typically be embodied by  
8 computer readable instructions, data structures, program modules, or other data in  
9 a modulated data signal, such as a carrier wave or other transport mechanism, and  
10 includes any information delivery media. The term "modulated data signal"  
11 means a signal that has one or more of its characteristics set or changed in such a  
12 manner as to encode information in the signal. By way of example, and not  
13 limitation, communication media includes wired media such as a wired network or  
14 direct-wired connection, and wireless media such as acoustic, RF, infrared and  
15 other wireless media. The term computer readable media as used herein includes  
16 both storage media and communication media.

17  
18 Fig. 2 is a functional block diagram of a computing environment 200 that  
19 includes components for verifying the security of an application. Illustrated in  
20 Fig. 2 are an application 210 and a security verifier 250. The application 210 is a  
21 conventional software program with computer-executable instructions or code.  
22 The application 210 may include functionality embodied in "objects," such as  
23 object 212, as that term is used in the computer science field. Each object in the  
24 application 210 has associated security information that describes the security  
25 context of the object. In this particular example, each object 212 has an associated

1 security descriptor 215. Briefly stated, the security descriptor 215 is a data  
2 structure containing the security information associated with a securable object.  
3 The security descriptor 215 includes information about who owns the object 212,  
4 who can access it and in what way, and what access is audited. The security  
5 descriptor 215 is described in greater detail below in conjunction with Fig. 3. The  
6 application may also include functionality embodied in other resources 220 that  
7 are not object-oriented.

8  
9 During execution, the application 210 is likely to interact with other objects  
10 as well. For instance, the application 210 may output information to one  
11 object 290 or retrieve information from another object 295. Each of those objects  
12 should also include its own security descriptor. Note that it will be apparent that  
13 the application may both write to and read from an external object. Two objects  
14 are illustrated in Fig. 2 for simplicity of description only and there is no  
15 requirement that the application 210 writes to and reads from separate objects. In  
16 addition, the two other objects are illustrated outside the controlled execution  
17 environment 270 (described later) for simplicity of illustration only. It will be  
18 appreciated that the application 210 may interact with objects both inside and  
19 outside the application 210, and both inside and outside the controlled execution  
20 environment 270.

21  
22 Generally stated, the security verifier 250 is an application that is specially  
23 configured to evaluate the security implications of other software, such as the  
24 application 210. The security verifier may include code that implements one or  
25 more of the techniques described below in conjunction with Figs. 4-6. It is

1 envisioned that for a comprehensive evaluation of a software application, the  
2 security verifier 250 should be configured to implement all of the techniques  
3 described below.

4  
5 In support of its tasks, the security verifier 250 may maintain security  
6 information 251 for use in evaluating the security impact of applications. For  
7 example, the security information 251 may include information that ranks entities  
8 according to how trusted they are. In one example, the security information 251  
9 may identify entities as (1) trusted, (2) questionable, or (3) dangerous. These  
10 entities may be identified individually or, more likely, as groups of entities.  
11 Commonly, a Security IDentifier (SID) is used to identify an entity, sometimes  
12 referred to as a Security Principal. For the purpose of this discussion, a SID is a  
13 piece of information/set of bytes of variable length that identifies a user, group,  
14 computer account, or the like on a computing system or possibly in an enterprise.

15  
16 The security information 251 may also include information that ranks or  
17 categorizes permissions according to how safe the permission is. In other words, a  
18 permission that could possibly result in compromised security may be categorized  
19 as unsafe, while a permission that is unlikely to lead to compromised security may  
20 be categorized as safe.

21  
22 In this particular implementation, the security verifier 250 evaluates the  
23 application 210 by executing the application 210 in such a manner that the security  
24 verifier 250 can monitor any attempts to create or modify the security  
25 descriptor 215 of an object 212. For instance, a user may execute the security



1 verifier 250, which in turn launches the application 210 in a controlled execution  
2 environment 270, such as in a debug mode or the like. As described more fully  
3 later in this document, the security verifier 250 may use the controlled execution  
4 environment 270 to intercept important information about the security being  
5 applied to each object in use by the application 210. Having intercepted that  
6 information, the security verifier 250 evaluates the security impact created by the  
7 application 210 and notifies a developer, user, or administrator of any potential  
8 security problems within that application. In this manner, the potential security  
9 problems can be remedied before serious problems occur.

10  
11 Fig. 3 is a functional block diagram of a security descriptor 310 that may be  
12 associated with an object illustrated in Fig. 2. As noted above, the security  
13 descriptor 310 includes access control information for the object. The security  
14 descriptor is first written when the object is created. Then, when a user tries to  
15 perform an action with the object, the operating system compares the object's  
16 security descriptor with the user's security context to determine whether the user is  
17 authorized for that action.

18  
19 The contents of the security descriptor include an owner Security IDentifier  
20 (SID) 320 and a Discretionary Access Control List (DACL) 330. The owner  
21 SID 320 identifies the entity that owns the object. The owner is commonly a user,  
22 group, service, computer account, or the like. Typically, the owner is the entity  
23 that created the object, but the owner can be changed. The DACL 330 essentially  
24 defines the permissions that apply to the object and its properties through an  
25 ordered list of access control entries (ACE).

1        Each ACE, such as ACE 331, includes a SID 332 and an access mask 333.  
2        The SID 332 identifies a security principal or entity using a unique value. The  
3        access mask 333 defines the permissions that the entity represented by the  
4        SID 332 has with respect to the object. In other words, the access mask 333  
5        defines what the entity having SID 332 can do to the object. Being discretionary,  
6        these permissions may be changed at any time.

7  
8        The security descriptor 310 may also include other information, such as a  
9        header 315, a primary group SID 316, and a System ACL (SACL) 317. The  
10       header 315 includes information that generally describes the contents of the  
11       security descriptor 310. The primary group SID 316 includes information used by  
12       certain operating systems. And the SACL 317 identifies entities whose attempts to  
13       access the object will be audited.

14  
15       It should be noted that the security descriptor 310 described in conjunction  
16       with Fig. 3 is but one example of a data structure that contains access control  
17       information about an object. Many alternative mechanisms for storing access  
18       control information, including alternative structures, layouts, and content, will be  
19       readily apparent to those skilled in the art.

20  
21       Fig. 4 is a logical flow diagram generally illustrating operations that may be  
22       performed by a process 400 implementing a technique for verifying security  
23       description information associated with objects used by an application. The  
24       process 400 begins at step 401 where an Application Programming Interface (API)  
25       or the like is hooked to enable intercepting instructions from an application that

1 may affect a security descriptor of an object. In this particular implementation, the  
2 API hooks allow the security verifier to evaluate any changes made to the security  
3 descriptor of an object. Appendix I below includes a listing of several example  
4 APIs that may be used for the purposes just described. The list includes only APIs  
5 associated with the Windows<sup>®</sup> operating system licensed by the Microsoft  
6 Corporation, but is not an exclusive list. Other APIs associated with either the  
7 Windows<sup>®</sup> operating system or other operating systems may serve the same  
8 purpose equally well.

9  
10 At step 403, the security verifier intercepts a security descriptor that has  
11 been modified by the application in some manner using one or more of the APIs  
12 described above. As mentioned, the security descriptor includes a SID that  
13 identifies the owner of the corresponding object. The security verifier retrieves the  
14 SID for the owner from the intercepted security descriptor.

15  
16 At step 405, the security verifier evaluates how trusted the owner is by  
17 comparing the owner SID with the security information maintained by the security  
18 verifier. As mentioned above, each entity having a SID can be categorized or  
19 ranked based on its trustworthiness. Appendix II includes a listing of possible  
20 categorizations for known SIDs as either trusted, dangerous, or questionable.  
21 Again, the listing of SIDs provided in Appendix II is not exhaustive. Moreover,  
22 the categorizations assigned to the SIDs in Appendix II are not necessarily final.  
23 Other categorizations may be made without departing from the spirit of the  
24 invention.

1       At step 407, if the owner is categorized as dangerous, then the security  
2 verifier issues an alert notification (block 408). In this particular implementation,  
3 an alert notification is associated with a condition that may easily lead to a  
4 compromise in security. The notification may take any of many forms, such as a  
5 dialog box, an entry in a log file, or the like. The notification need not be  
6 immediate, but may be.

7  
8       At step 409, if the owner is categorized as questionable, then the security  
9 verifier issues a warning notification (block 410). In this particular  
10 implementation, a warning notification is associated with a condition that could  
11 possibly, but not necessarily, be a security vulnerability. This notification  
12 essentially informs the developer of a potential security vulnerability, thereby  
13 giving the developer a chance to investigate the situation. Again, the notification  
14 may take any of many forms.

15  
16       At step 411, if the owner is categorized as trusted, then the security verifier  
17 does not issue a notification (block 412). If the owner is trusted then there is no  
18 likelihood of a compromise in security, and accordingly no notification is  
19 necessary.

20  
21       At step 413, a notification is issued indicating that the owner cannot be  
22 resolved. If the owner cannot be resolved, then the object isn't necessarily  
23 insecure, but it is likely not what the calling entity intended. Essentially, without  
24 knowing who the owner is, the verifier simply cannot evaluate its security. This  
25 information is therefore provided to the developer.

1 Fig. 5 is a logical flow diagram generally illustrating operations that may be  
2 performed by a process 500 implementing another technique for verifying security  
3 description information associated with objects used by an application. The  
4 process 500 may be used in addition to the process 400 described above for a more  
5 comprehensive security evaluation. The process 500 begins at step 501, where  
6 again a call to an API that affects an object's security descriptor is hooked, and the  
7 security descriptor is intercepted.

8  
9 Step 503 begins a loop that iterates over each ACE in the DACL associated  
10 with the security descriptor intercepted at step 501. Both "allow" and "deny"  
11 ACEs could be evaluated. However, because denying an entity access is  
12 somewhat rare and should not be capable of creating a security vulnerability, this  
13 particular implementation looks only at "allow" ACEs. For each ACE, the  
14 security verifier retrieves the SID for the ACE at step 505. At step 507, the  
15 security verifier evaluates how trusted the SID is in a manner similar to that  
16 performed above at step 405 of process 400. Similarly, at step 509, if the SID  
17 corresponds to an entity categorized as dangerous, an alert is issued (step 510) and  
18 the process 500 continues to the next ACE. This step is indicative of the logic that  
19 entities deemed dangerous should never be granted access permission to objects.  
20

21 At steps 511 and 513, if the SID corresponds to an entity categorized as  
22 questionable or public, respectively, then the security verifier evaluates, at  
23 step 515, the permissions granted by the corresponding ACE. The operations  
24 performed to evaluate the permissions are described below in conjunction with  
25

1 Fig. 6. At step 517, an appropriate notification is issued based on the type of  
2 entity and the level of access permissions determined at step 515.

3  
4 At step 519, if the SID corresponds to a trusted entity, then, as above, no  
5 notification is required and the process continues to the next ACE. However, if at  
6 step 519 it is not determined that the entity is trusted, then the entity is an  
7 unknown type (step 520), so the process continues to step 515, where the access  
8 permissions are evaluated. The process 500 loops at step 525 until all the ACEs  
9 have been evaluated.

10  
11 Fig. 6 is a logical flow diagram generally illustrating steps that may be  
12 performed in a process 600 for identifying the level of access permissions granted  
13 in an ACE, and determining whether the permissions are excessive based on the  
14 type of entity to which the permissions are granted. The process 600 begins at  
15 step 601, where, during the evaluation described above in connection with Fig. 5,  
16 it has been determined that the entity is not a trusted entity. In this example, non-  
17 trusted entities may be categorized as either unknown, public, questionable, or  
18 dangerous. However, as mentioned above, if an entity has been determined to be  
19 dangerous, then no level of access permissions is acceptable, and accordingly  
20 there is no need to evaluate them.

21  
22 At step 603, the process 600 determines the level of access permissions that  
23 have been granted in the ACE. Based on the level of security risk associated with  
24 the particular access permissions granted in the current ACE, the security verifier  
25 may either issue an alert, a warning, or no notification at all. The level of

1 permission may be based on a categorization of the types of access enabled by a  
2 particular access mask. One example of a categorization of access permissions is  
3 included as Appendix III below. It should be noted that the categorization  
4 provided in Appendix III is for the purpose of guidance only, and is not intended to  
5 be controlling or necessary.

6  
7 At step 605, if the access permissions being granted are dangerous, then at  
8 step 606, an alert notification is issued. Again, it is envisioned that granting a  
9 dangerous level of permissions to an entity that is not trusted should result in some  
10 form of alert notification.

11  
12 At step 607, if the access permissions being granted are questionable, then  
13 at step 608, a warning may be issued. If a non-trusted entity is granted  
14 questionable but not dangerous permissions, it is envisioned that some form of  
15 notification may be appropriate that is less alarming than the notification given for  
16 a dangerous security condition. It should be noted, however, that this is a design  
17 choice and, alternatively, questionable and dangerous security conditions could be  
18 treated the same and both could result in the same notification without departing  
19 from the spirit of the invention.

20  
21 At step 609, if the access permissions being granted are safe, then at  
22 step 611 a determination is made whether the entity/grantee is questionable. In this  
23 particular implementation, if the entity being granted permission is questionable,  
24 then even if the permissions are safe, a warning may be issued at step 608.

1 Alternatively, as in the case where the entity/grantee is not questionable, a  
2 notification may be omitted (step 613).

3  
4 In summary, a mechanism and techniques have been described for  
5 comprehensively evaluating the level of security threat created by modifying  
6 access control of an object. The mechanism and techniques evaluate both whether  
7 an entity that has access to the object is trustworthy, and whether the granted  
8 permissions are safe.

9  
10 The subject matter described above can be implemented in software,  
11 hardware, firmware, or in any combination of those. In certain implementations,  
12 the exemplary techniques and mechanisms may be described in the general  
13 context of computer-executable instructions, such as program modules, being  
14 executed by a computer. Generally, program modules include routines, programs,  
15 objects, components, data structures, etc. that perform particular tasks or  
16 implement particular abstract data types. The subject matter can also be practiced  
17 in distributed communications environments where tasks are performed over  
18 wireless communication by remote processing devices that are linked through a  
19 communications network. In a wireless network, program modules may be  
20 located in both local and remote communications device storage media including  
21 memory storage devices.

22  
23 Although details of specific implementations and embodiments are  
24 described above, such details are intended to satisfy statutory disclosure  
25 obligations rather than to limit the scope of the following claims. Thus, the



1 invention as defined by the claims is not limited to the specific features described  
2 above. Rather, the invention is claimed in any of its forms or modifications that  
3 fall within the proper scope of the appended claims, appropriately interpreted in  
4 accordance with the doctrine of equivalents.  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

**Appendix I – List of APIs Intercepted by Security Verifier**

ADVAPI32.DLL!RegCreateKeyExA  
ADVAPI32.DLL!RegCreateKeyExW  
ADVAPI32.DLL!RegSaveKeyA  
ADVAPI32.DLL!RegSaveKeyExA  
ADVAPI32.DLL!RegSaveKeyExW  
ADVAPI32.DLL!RegSaveKeyW  
ADVAPI32.DLL!RegSetKeySecurity  
ADVAPI32.DLL!SetFileSecurityA  
ADVAPI32.DLL!SetFileSecurityW  
ADVAPI32.DLL!SetKernelObjectSecurity  
ADVAPI32.DLL!SetNamedSecurityInfoA  
ADVAPI32.DLL!SetNamedSecurityInfoW  
ADVAPI32.DLL!SetSecurityInfo  
ADVAPI32.DLL!SetServiceObjectSecurity  
CLUSAPI.DLL!ClusterRegCreateKey  
CLUSAPI.DLL!ClusterRegSetKeySecurity  
KERNEL32.DLL!CopyFileA  
KERNEL32.DLL!CopyFileExA  
KERNEL32.DLL!CopyFileExW  
KERNEL32.DLL!CopyFileW  
KERNEL32.DLL!CreateDirectoryA  
KERNEL32.DLL!CreateDirectoryExA  
KERNEL32.DLL!CreateDirectoryExW  
KERNEL32.DLL!CreateDirectoryW

1	KERNEL32.DLL!CreateEventA
2	KERNEL32.DLL!CreateEventW
3	KERNEL32.DLL!CreateFileA
4	KERNEL32.DLL!CreateFileMappingA
5	KERNEL32.DLL!CreateFileMappingW
6	KERNEL32.DLL!CreateFileW
7	KERNEL32.DLL!CreateHardLinkA
8	KERNEL32.DLL!CreateHardLinkW
9	KERNEL32.DLL!CreateJobObjectA
10	KERNEL32.DLL!CreateJobObjectW
11	KERNEL32.DLL!CreateMailslotA
12	KERNEL32.DLL!CreateMailslotW
13	KERNEL32.DLL!CreateMutexA
14	KERNEL32.DLL!CreateMutexW
15	KERNEL32.DLL!CreateNamedPipeA
16	KERNEL32.DLL!CreateNamedPipeW
17	KERNEL32.DLL!CreatePipe
18	KERNEL32.DLL!CreateProcessA
19	KERNEL32.DLL!CreateProcessW
20	KERNEL32.DLL!CreateRemoteThread
21	KERNEL32.DLL!CreateSemaphoreA
22	KERNEL32.DLL!CreateSemaphoreW
23	KERNEL32.DLL!CreateThread
24	KERNEL32.DLL!CreateWaitableTimerA
25	KERNEL32.DLL!CreateWaitableTimerW

1	KERNEL32.DLL!MoveFileExA
2	KERNEL32.DLL!MoveFileExW
3	KERNEL32.DLL!MoveFileWithProgressA
4	KERNEL32.DLL!MoveFileWithProgressW
5	KERNEL32.DLL!OpenEventA
6	KERNEL32.DLL!OpenEventW
7	KERNEL32.DLL!OpenJobObjectA
8	KERNEL32.DLL!OpenJobObjectW
9	KERNEL32.DLL!OpenMutexA
10	KERNEL32.DLL!OpenMutexW
11	KERNEL32.DLL!OpenPrinterA
12	KERNEL32.DLL!OpenPrinterW
13	KERNEL32.DLL!OpenProcess
14	KERNEL32.DLL!OpenProcessToken
15	KERNEL32.DLL!OpenSCManagerA
16	KERNEL32.DLL!OpenSCManagerW
17	KERNEL32.DLL!OpenSemaphoreA
18	KERNEL32.DLL!OpenSemaphoreW
19	KERNEL32.DLL!OpenServiceA
20	KERNEL32.DLL!OpenServiceW
21	KERNEL32.DLL!OpenWaitableTimerA
22	KERNEL32.DLL!OpenWaitableTimerW
23	KERNEL32.DLL!OpenWindowStationA
24	KERNEL32.DLL!OpenWindowStationW
25	KERNEL32.DLL!RegOpenKeyExA

1	KERNEL32.DLL!RegOpenKeyExW
2	NTMSAPI.DLL!CreateNtmsMediaPoolA
3	NTMSAPI.DLL!CreateNtmsMediaPoolW
4	NTMSAPI.DLL!SetNtmsObjectSecurity
5	USER32.DLL!CreateDesktopA
6	USER32.DLL!CreateDesktopW
7	USER32.DLL!CreateWindowStationA
8	USER32.DLL!CreateWindowStationW
9	USER32.DLL!SetUserObjectSecurity
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	

## Appendix II – Categorizations of Known Security Identifiers

### Entities Identified as Public

L"AU", // authenticated users

CHECKSD\_SID\_AUTO\_PUBLIC

L"LS", // LocalSERVICE: trusted as we would an unprivileged user

CHECKSD\_SID\_AUTO\_PUBLIC

L"NS", // networkService: trusted as we would an unprivileged user

CHECKSD\_SID\_AUTO\_PUBLIC

L"IU", // Interactive -- should be considered public

CHECKSD\_SID\_AUTO\_PUBLIC

### Entities Identified as Trusted

L"RC", // Restricted Code (not at risk for disclosure, by spec)

CHECKSD\_SID\_COMPLETELY\_TRUSTED

L"SY", // LocalSystem is part of the TCB

CHECKSD\_SID\_COMPLETELY\_TRUSTED

L"BA", // builtin-admin is already all-powerful

CHECKSD\_SID\_COMPLETELY\_TRUSTED

1 L"BO", // backup operator can read anything, write anything

2 CHECKSD\_SID\_COMpletely\_TRUSTED

4 L"CO", // Creator/Owner

5 CHECKSD\_SID\_COMpletely\_TRUSTED

7 L"SO", // server operators.

8 CHECKSD\_SID\_OPTIONAL | // this group may not exist on all platforms,  
9 such as non-server platforms

10 CHECKSD\_SID\_COMpletely\_TRUSTED

12 L"DA", // domain admins

13 CHECKSD\_SID\_OPTIONAL | // this group may not exist on all platforms,  
14 such as non-domain-joined computers

15 CHECKSD\_SID\_COMpletely\_TRUSTED

17 DOMAIN\_USER\_RID\_ADMIN, // administrator

18 CHECKSD\_SID\_COMpletely\_TRUSTED

20 **Entities Identified as Questionable**

21 L"S-1-1-0", // Everyone (WORLD)

22 CHECKSD\_SID\_AUTO\_QUESTIONABLE,

23 L"Consider Authenticated Users instead."

25 L"S-1-2-0", // LOCAL group

1 CHECKSD\_SID\_AUTO\_QUESTIONABLE,  
2 L"Easily misunderstood meaning. Consider a different SID."  
3  
4 L"S-1-5-32-547", // power users  
5 CHECKSD\_SID\_COMPLETELY\_TRUSTED  
6  
7 L"S-1-5-32-556", // network config operators  
8 CHECKSD\_SID\_COMPLETELY\_TRUSTED  
9  
10 L"S-1-5-1", // dialup  
11 CHECKSD\_SID\_AUTO\_QUESTIONABLE  
12  
13 L"S-1-5-2", // network  
14 CHECKSD\_SID\_AUTO\_QUESTIONABLE  
15  
16 L"S-1-5-8", // proxy  
17 CHECKSD\_SID\_AUTO\_QUESTIONABLE  
18  
19 L"S-1-5-13", // Terminal Server  
20 CHECKSD\_SID\_AUTO\_QUESTIONABLE  
21  
22 L"S-1-5-14", // Remote logon  
23 CHECKSD\_SID\_AUTO\_QUESTIONABLE  
24  
25



1 L"S-1-5-7", // anonymous

2 CHECKSD\_SID\_AUTO\_QUESTIONABLE,

3 L"Very public. Review for potential privacy/disclosure risks"

4  
5 L"S-1-5-32-546",

6 CHECKSD\_SID\_AUTO\_QUESTIONABLE,

7 L"Very public. Review for potential disclosure risks" // Builtin Guest

8 // use RID instead of SDDL

9 DOMAIN\_USER\_RID\_GUEST,

10 CHECKSD\_SID\_AUTO\_QUESTIONABLE,

11 L"Guest user is public. Review for potential disclosure risks"

12  
13 // RID only

14 DOMAIN\_GROUP\_RID\_GUESTS,

15 CHECKSD\_SID\_AUTO\_QUESTIONABLE,

16 L"Guest RID is public. Review for disclosure risks."

17  
18 DOMAIN\_ALIAS\_RID\_GUESTS,

19 CHECKSD\_SID\_AUTO\_QUESTIONABLE,

20 L"Guest alias is public. Review for disclosure risks."

21  
22 DOMAIN\_ALIAS\_RID\_USERS,

23 CHECKSD\_SID\_AUTO\_PUBLIC

1 DOMAIN\_ALIAS\_RID\_PREW2KCOMPACCESS,  
2 CHECKSD\_SID\_AUTO\_QUESTIONABLE

3  
4 DOMAIN\_ALIAS\_RID\_REMOTE\_DESKTOP\_USERS,  
5 CHECKSD\_SID\_AUTO\_PUBLIC  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

### Appendix III – Illustrative Categorization of Permissions

// DANGER -- dangerous permission

// Q -- questionable permission

// OK -- OK (safe) permission

/\*-----

Standard Security Descriptor generic rights.

These are the bits that apply to any mask. The other rights (elsewhere in this file) take precedence over these.

-----\*/

DANGER: GENERIC\_ALL

DANGER: GENERIC\_WRITE

OK: GENERIC\_READ

OK: GENERIC\_EXECUTE

DANGER: DELETE

OK: READ\_CONTROL

DANGER: WRITE\_DAC

DANGER: WRITE\_OWNER

OK: SYNCHRONIZE

Q: ACCESS\_SYSTEM\_SECURITY

1 /\*-----  
2 These rights apply to process objects.  
3 Most of these are dangerous because there aren't many safe  
4 things you can do to someone else's process without potentially  
5 causing harm.  
6 -----\*/

8 DANGER: PROCESS\_TERMINATE  
9 DANGER: PROCESS\_CREATE\_THREAD  
10 DANGER: PROCESS\_SET\_SESSIONID  
11 DANGER: PROCESS\_VM\_OPERATION  
12 DANGER: PROCESS\_VM\_READ  
13 DANGER: PROCESS\_VM\_WRITE  
14 DANGER: PROCESS\_DUP\_HANDLE  
15 DANGER: PROCESS\_CREATE\_PROCESS  
16 DANGER: PROCESS\_SET\_QUOTA  
17 DANGER: PROCESS\_SET\_INFORMATION  
18 DANGER: PROCESS\_SUSPEND\_RESUME  
19 DANGER: PROCESS\_SET\_PORT  
20 OK: PROCESS\_QUERY\_INFORMATION  
21  
22  
23  
24  
25

1 /\*-----  
2 These rights apply to thread objects.  
3 As with processes, many of the accesses are dangerous,  
4 in part because this is inherently a security-related object.  
5 -----\*/

6  
7 DANGER: THREAD\_TERMINATE  
8 DANGER: THREAD\_SUSPEND\_RESUME  
9 DANGER: THREAD\_SET\_CONTEXT  
10 DANGER: THREAD\_SET\_INFORMATION  
11 DANGER: THREAD\_SET\_THREAD\_TOKEN  
12 DANGER: THREAD\_IMPERSONATE  
13 DANGER: THREAD\_DIRECT\_IMPERSONATION

14  
15 OK: THREAD\_QUERY\_INFORMATION  
16 OK: THREAD\_GET\_CONTEXT  
17 OK: THREAD\_ALERT

18  
19 /\*-----  
20 These rights apply to job objects.  
21 -----\*/

22  
23 DANGER: JOB\_OBJECT\_ASSIGN\_PROCESS  
24 DANGER: JOB\_OBJECT\_SET\_ATTRIBUTES  
25

```

1 DANGER: JOB_OBJECT_TERMINATE
2 DANGER: JOB_OBJECT_SET_SECURITY_ATTRIBUTES
3
4 Q: JOB_OBJECT_QUERY
5
6 /*-----
7
8 These rights apply to file objects :though not Directories,
9 Named Pipes, or other pseudo-files... see below).
10 -----*/
11
12 OK: FILE_READ_DATA
13 DANGER: FILE_WRITE_DATA
14 DANGER: FILE_APPEND_DATA
15 OK: FILE_READ_EA
16 DANGER: FILE_WRITE_EA
17 OK: FILE_EXECUTE
18 DANGER: FILE_DELETE_CHILD
19 OK: FILE_READ_ATTRIBUTES
20 DANGER: FILE_WRITE_ATTRIBUTES
21
22 /*-----
23
24 These rights apply to Desktop objects.
25 -----*/
26
27 DANGER: DESKTOP_READOBJECTS

```

1 DANGER: DESKTOP\_CREATEWINDOW  
2 DANGER: DESKTOP\_CREATEMENU  
3 DANGER: DESKTOP\_HOOKCONTROL  
4 DANGER: DESKTOP\_JOURNALRECORD  
5 DANGER: DESKTOP\_JOURNALPLAYBACK  
6 DANGER: DESKTOP\_WRITEOBJECTS  
7 Q: DESKTOP\_SWITCHDESKTOP  
8 OK: DESKTOP\_ENUMERATE  
9  
10 /\*-----  
11 These rights apply to Windowstation objects.  
12 -----\*/  
13  
14 OK: WINSTA\_ENUMDESKTOPS  
15 OK: WINSTA\_READATTRIBUTES  
16 DANGER: WINSTA\_ACCESSCLIPBOARD  
17 DANGER: WINSTA\_CREATEDESKTOP  
18 DANGER: WINSTA\_WRITEATTRIBUTES  
19 Q: WINSTA\_ACCESSGLOBALATOMS  
20 DANGER: WINSTA\_EXITWINDOWS  
21 OK: WINSTA\_ENUMERATE  
22 DANGER: WINSTA\_READSCREEN  
23  
24  
25

```

1  /*-----
2  These rights apply to registry key objects.
3  -----*/
4
5  OK: KEY_QUERY_VALUE
6  DANGER: KEY_SET_VALUE
7  DANGER: KEY_CREATE_SUB_KEY
8  OK: KEY_ENUMERATE_SUB_KEYS
9  OK: KEY_NOTIFY
10 DANGER: KEY_CREATE_LINK
11
12 // these three are questionable because few (if any)
13 // applications should ever have to manipulate them.
14
15 Q: KEY_WOW64_32KEY
16 Q: KEY_WOW64_64KEY
17 Q: KEY_WOW64_RES
18
19 /*-----
20 These rights apply to symbolic link objects.
21 -----*/
22
23 OK: SYMBOLIC_LINK_QUERY
24
25

```



```

1      /*-----
2      These rights apply to Mutex objects.
3      -----*/
4
5      // mutexes are fun, because modifying their state is
6      // NECESSARY, often by unprivileged users.
7      // however, a good deal of code could still be smashed
8      // by the acquisition of a bad mutex.
9
10     OK: MUTEX_MODIFY_STATE
11
12     // GENERIC_WRITE should be whatever MUTEX_MODIFY is set to.
13
14     OK: GENERIC_WRITE
15
16     // GENERIC_ALL is left questionable, however, just because
17     // granting it out is usually overkill.
18
19     Q: GENERIC_ALL
20
21     /*-----
22     These rights apply to Semaphore objects.
23     -----*/
24
25     OK: SEMAPHORE_QUERY_STATE

```

```

1      DANGER: SEMAPHORE_MODIFY_STATE
2
3      /*-----
4      These rights apply to Timer objects.
5      -----*/
6
7      OK: TIMER_QUERY_STATE
8      DANGER: TIMER_MODIFY_STATE
9
10
11     /*-----
12     These rights apply to Event objects.
13     -----*/
14
15     OK: EVENT_QUERY_STATE
16     DANGER: EVENT_MODIFY_STATE
17
18     /*-----
19     These rights apply to DS (Directory Service) objects.
20     -----*/
21
22     OK: ACTRL_DS_OPEN
23     DANGER: ACTRL_DS_CREATE_CHILD
24     DANGER: ACTRL_DS_DELETE_CHILD
25     OK: ACTRL_DS_LIST

```

1 OK: ACTRL\_DS\_SELF  
 2 OK: ACTRL\_DS\_READ\_PROP  
 3 DANGER: ACTRL\_DS\_WRITE\_PROP  
 4  
 5 /\*-----  
 6 These rights apply to printer objects.  
 7 -----\*/  
 8  
 9 DANGER: SERVER\_ACCESS\_ADMINISTER  
 10 OK: SERVER\_ACCESS\_ENUMERATE  
 11 DANGER: SERVER\_ACCESS\_ADMINISTER  
 12 Q: PRINTER\_ACCESS\_USE  
 13 DANGER: JOB\_ACCESS\_ADMINISTER  
 14  
 15 /\*-----  
 16 These rights apply to service objects :corresponding to  
 17 the service entries held by the SCM-- not the service  
 18 processes).  
 19 -----\*/  
 20  
 21 OK: SERVICE\_QUERY\_CONFIG  
 22 DANGER: SERVICE\_CHANGE\_CONFIG  
 23 OK: SERVICE\_QUERY\_STATUS  
 24 OK: SERVICE\_ENUMERATE\_DEPENDENTS  
 25 OK: SERVICE\_START

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

DANGER: SERVICE\_STOP  
DANGER: SERVICE\_PAUSE\_CONTINUE  
OK: SERVICE\_INTERROGATE  
OK: SERVICE\_USER\_DEFINED\_CONTROL

/\*-----  
These rights apply to NTMS objects.  
-----\*/

DANGER: NTMS\_MODIFY\_ACCESS  
DANGER: NTMS\_CONTROL\_ACCESS  
Q: NTMS\_USE\_ACCESS

/\*-----  
These rights apply to section objects.  
-----\*/

OK: SECTION\_QUERY  
DANGER: SECTION\_MAP\_WRITE  
OK: SECTION\_MAP\_READ  
OK: SECTION\_MAP\_EXECUTE  
Q: SECTION\_EXTEND\_SIZE

```

1  /*-----
2  These rights apply to named pipe objects.
3  -----*/
4
5  OK: FILE_READ_DATA
6  OK: FILE_WRITE_DATA
7  DANGER: FILE_CREATE_PIPE_INSTANCE
8  OK: FILE_READ_EA
9  OK: FILE_WRITE_EA
10 OK: FILE_EXECUTE
11 DANGER: FILE_DELETE_CHILD
12 OK: FILE_READ_ATTRIBUTES
13 OK: FILE_WRITE_ATTRIBUTES
14
15 /*-----
16 These rights apply to directory (folder) objects.
17 -----*/
18
19 OK: FILE_LIST_DIRECTORY
20 DANGER: FILE_ADD_FILE
21 DANGER: FILE_ADD_SUBDIRECTORY
22 OK: FILE_READ_EA
23 OK: FILE_WRITE_EA
24 OK: FILE_TRAVERSE
25 DANGER: FILE_DELETE_CHILD

```

```

1 OK: FILE_READ_ATTRIBUTES
2 OK: FILE_WRITE_ATTRIBUTES
3
4 /*-----
5 These rights apply to access token objects.
6 -----*/
7
8 // most access token rights are DANGEROUS, because
9 // untrusted users should not be able to, say, impersonate
10 // or duplicate a logon token.
11
12 DANGER: TOKEN_ASSIGN_PRIMARY
13 DANGER: TOKEN_DUPLICATE
14 DANGER: TOKEN_IMPERSONATE
15 OK: TOKEN_QUERY
16 OK: TOKEN_QUERY_SOURCE
17 DANGER: TOKEN_ADJUST_PRIVILEGES
18 DANGER: TOKEN_ADJUST_GROUPS
19 DANGER: TOKEN_ADJUST_DEFAULT
20 DANGER: TOKEN_ADJUST_SESSIONID
21
22
23
24
25

```